

Together we progress



RHB Securities

Compliance Officer/Internal Control Officer

Job Description

- ✓ Check/oversee the company's compliance with securities law, internal rules, regulations.
- ✓ Review the company's system of processes/regulations, in order to promptly detect risks/omissions and propose solutions to improve operational efficiency at the company.
- ✓ Carry out periodic inspection according to plans or irregular inspection of departments/departments/activities in the company to detect outstanding points, propose recommendations to overcome risks; improve the operational efficiency of the inspected/controlled units. Follow up on remedial results.
- ✓ Conduct Compliance training sessions as required by the Group
- ✓ Make reports according to the provisions of the Law and the requirements of the Group
- ✓ Update, guide and prepare FATCA compliance and anti-money laundering reports.
- ✓ Perform other assigned tasks at the request of the Manager.

Requirements

- ✓ Bachelor's Degree in related fields.
- ✓ Good English skills
- ✓ Minimum 2 years of experience in finance/banking/compliance/fund management.
- ✓ Those with certificate on securities market, securities law or securities practicing license will be preferred.
- ✓ Be self-motivated and ability to work independently with a high level of professional integrity and ethics
- ✓ Good communication skill with strong analytical ability and be a good team player

Benefits

- ✓ Negotiable salary
- ✓ Insurance coverage according to applicable Law
- ✓ Meal allowance
- ✓ Performance Bonus...

RHB Securities Vietnam Company Limited

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